PERFORMANCE PREDICTION OF ENERGY EFFICIENT PERMANENT SPLIT CAPACITOR RUN SINGLE PHASE INDUCTION MOTOR

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Abstract: The performance of permanent-split capacitor-run single-phase induction motor can be evaluated from the equivalent circuit parameters, which are derived from dc test, no-load test, locked-rotor test and the winding ratio test. In the present work, the conventional turns ratio has been replaced with computed complex voltage ratio for performance prediction of the ac voltage controller fed capacitor-run motor, at different firing angles of triac. Two different energy efficient experimental schemes, namely traditional phase angle control scheme (with ac voltage controller in series with both main and auxiliary windings) and advanced phase angle control scheme (with ac voltage controller in series with main winding alone), are used for performance observation of the motor. It is observed that for both the schemes, the proposed performance prediction method gives performance variables values closer to the experimental observations in comparison to those obtained using the conventional performance prediction methods. Further, it is also demonstrated that although the conventional parameter estimation and performance prediction methods did not show superiority of the advanced ac voltage control scheme over the traditional one, yet the proposed method does so.

Keywords: AC Motors, Capacitor motors, Equivalent circuits, Induction motors, Parameter estimation, Power semiconductor switches.

1. INTRODUCTION

Single phase motors are small motors, mostly built in the fractional horse power range. These types of motors are used for many types of equipment in homes, offices, shops and factories. In fact, the number of single-phase (fractional horsepower) motors in use today far exceeds the number of integral horsepower motors of all types.

The IEEE Standard 114-1982 [1] and 114-2001 [2] provide the testing methods for Single Phase Induction Motor (SPIM), but these do not provide a method of extracting the parameters of Permanent-Split Capacitor-Run Single-Phase Induction Motor (PSCRSPIM). For parameter extraction of SPIM, except for capacitor run motor, the no-load and locked-rotor tests are made with the auxiliary winding kept open. In capacitor-run motor, however, auxiliary winding parameters also contribute in these test results even though rest of the procedure, to find the main and auxiliary winding parameters, remains the same as that of plain SPIM [3]. The conventional methods [4-6] calculate the performance variables by taking turns ratio of SPIM as a scalar quantity, which is measured using the winding ratio test. However, the resulting performance variables (line current, power factor and power) give error vis-a-vis experimental values, which in turn, fallaciously compute the efficiency of the PSCRSPIM.

Different schemes have been proposed to find out the equivalent circuit parameters and performance variables for performance prediction of SPIM [4-30]. A detailed review of induction motor parameter estimation techniques with experimental and simulation illustrations, related to online and offline parameter estimation methods has been reported in [7]. Some researchers have proposed online parameter estimation techniques such as least square technique, particle swarm optimization technique, averaging analysis technique, elementary layer method, direct-online starting and natural slowdown tests etc.[8-13], while others have proposed offline parameter extraction methods such as vector constructing method, vector control scheme using offline genetic-algorithm routine, Lyapunov function based state observer, direct torque-controlled space-vector-modulated method, extrapolative, equation error and generalized identification method etc.[14-27]. Although various offline parameter-estimation techniques have been proposed for single- and three-phase IMs as because the offline identification is typically easier and more reliable than online methods, yet a little work has been reported for the performance prediction of PSCRSPIM [28-30]. This paper is an advancement of the previous work [3] in which the major thrust was on proposing a new complex turn ratio (named as CCVR) which was used to estimate the parameter and hence the performance variables. However, the present paper lays emphasis on evaluation of efficiency of PSCRSPIM by adopting two different energy efficient experimental schemes and using the proposed methodology. The application of the proposed theory is based on the assumption that SPIM can be represented as an ideal transformer, with such a transformation ratio that for which voltages are transformed in the direct ratio of turns, currents in the inverse ratio and impedances in direct ratio squared; power and volt amperes are unchanged.

In this paper, in contrast to the conventional approach, the parameters of main and auxiliary windings are extracted individually and then using the Computed Complex Voltage Ratio (CCVR), the equivalent circuit of PSCRSPIM is developed. The performance variables of the motor are then computed at different firing angles of triac. For comparative study, two energy efficient experimental schemes are applied on PSCRSPIM, one of which is conventional phase angle control scheme and the other one is an advanced phase angle control scheme. The computed efficiency of the motor for the advanced scheme is shown to be better only using the proposed performance prediction method.
2. PERMANENT-SPLIT CAPACITOR-RUN SINGLE-PHASE INDUCTION MOTOR

The PSCRSPIM has two windings, one is known as main winding and the other is called auxiliary winding, as shown in Fig. 1. A capacitor is connected in series with the auxiliary winding and both the windings are connected in parallel with an AC supply source.

These windings are geometrically displaced in such a way that the magnetic fields produced in space are 90° apart. The magnetic field produced by the motor, that pulsates in time, but, is stationary in space, can be resolved into two revolving magnetic fields that are equal in magnitude, but, revolve synchronously in opposite directions. The induced e.m.f.s in the rotor due to the two revolving fields are in opposition to each other.

![Fig. 1. Schematic representation of PSCRSPIM.](image)

The slip in either forward or backward branches of the rotor is same at standstill. Therefore, the starting torque developed by each revolving field is the same and the net torque developed by the motor is zero and hence SPIM is not self-starting. An induction motor can be made self-starting, if the two windings are placed in space quadrature and are connected in parallel to a single phase source, but, impedances of two windings are made unequal to produce out-of-phase currents which, in turn, set up a net unbalanced revolving field.

3. Proposed Performance Estimation of PSCRSPIM

In the formal practice, for performance prediction of the PSCRSPIM, the parameters of the main winding are first computed and then main winding referred auxiliary winding parameters are computed using the turns ratio. However, in the present work, for computation of efficiency of the motor, the total input impedances of both the windings are computed separately using the no-load and locked rotor tests and using the computed complex voltage transformation ratio (CCVR) instead of the conventional turns ratio.

For the complex transformation ratio, the main and auxiliary windings self induced e.m.f.s, \( \mathbf{E}_{\text{mc}} \) and \( \mathbf{E}_{\text{ac}} \) at rated voltage, can be computed as [3]:

\[
\mathbf{E}_{\text{mc}} = \mathbf{T}_1(\mathbf{Z}_{\text{inmc}})
\]

\[
\mathbf{E}_{\text{ac}} = \mathbf{T}_2(\mathbf{Z}_{\text{inac}})
\]

where, \( \mathbf{Z}_{\text{inmc}} \) and \( \mathbf{Z}_{\text{inac}} \) are the total input impedances and \( \mathbf{T}_1 \) and \( \mathbf{T}_2 \) are the phasor currents of the main and auxiliary winding equivalent circuits, respectively.

As on application of rated voltage on the one winding, such e.m.f. is supposed to be induced across the other winding, which makes the currents of two windings in inverse ratio of turns, therefore, equations (2) and (3) can be rewritten as [3]:

\[
\mathbf{F}_{\text{mc}} = \frac{\mathbf{T}_2}{\mathbf{Z}_{\text{inac}}} \mathbf{E}_{\text{mc}}
\]

\[
\mathbf{F}_{\text{ac}} = \frac{\mathbf{T}_1}{\mathbf{Z}_{\text{inmc}}} \mathbf{E}_{\text{ac}}
\]

The computed voltage induced across the one winding would then be in the direct ratio of rated voltage applied across the other winding. The turns ratio in terms of complex voltage transformation ratio thus can be obtained as:

\[
\frac{N_2}{N_1} = \frac{\mathbf{F}_{\text{mc}}}{\mathbf{F}_{\text{ac}}} = \frac{\mathbf{V}_{\text{mc}}}{\mathbf{V}_{\text{ac}}}
\]

\[
\frac{N_2}{N_1} = \frac{\mathbf{V}_{\text{mc}}}{\mathbf{V}_{\text{ac}}}
\]

where, \( \mathbf{V}_{\text{mc}} \) and \( \mathbf{V}_{\text{ac}} \) are line voltages applied to main and auxiliary winding, respectively.

Multiplying equations (6) and (7), the computed complex voltage ratio CCVR, \( \alpha \), is thus given by [3]:

\[
\alpha = \sqrt{\frac{\mathbf{F}_{\text{mc}}}{\mathbf{F}_{\text{ac}}}} \frac{\mathbf{V}_{\text{mc}}}{\mathbf{V}_{\text{ac}}}
\]

The scalar turns ratio, \( \alpha \), will now be replaced with this observed complex voltage ratio, \( \alpha \), termed as CCVR.

This transformation ratio is then used for developing the complete equivalent circuit as shown in Fig. 2 and for evaluating the performance variables and hence the efficiency of PSCRSPIM.

The steady state mathematical model of the motor consists of the system of equations which govern its steady state operation under all operating conditions. As shown in Fig. 2, the following equations can be written [3]:

\[
\mathbf{V}_{\text{m}} = \mathbf{I}_{\text{m}}(\mathbf{Z}_{\text{fa}} + \mathbf{E}_{\text{fa}} + \mathbf{E}_{\text{ba}} - j\frac{\mathbf{E}_{\text{fma}}}{\alpha} + j\frac{\mathbf{E}_{\text{bam}}}{\alpha})
\]

\[
\mathbf{V}_{\text{a}} = \mathbf{I}_{\text{a}}(\mathbf{Z}_{\text{fa}} + \mathbf{E}_{\text{fa}} + \mathbf{E}_{\text{ba}} + j\alpha \mathbf{E}_{\text{fma}} - j\alpha \mathbf{E}_{\text{bam}})
\]

where:

\[
\mathbf{E}_{\text{fa}} = \mathbf{Z}_{\text{fa}} \mathbf{I}_{\text{fa}}
\]

\[
\mathbf{E}_{\text{fma}} = \mathbf{Z}_{\text{fma}} \mathbf{I}_{\text{m}}
\]

\[
\mathbf{E}_{\text{bam}} = \mathbf{Z}_{\text{bam}} \mathbf{I}_{\text{a}}
\]
\[ \bar{E}_{ba} = \pi^2 \bar{Z}_{ba} \bar{I}_a \]  

The main and auxiliary winding voltages are calculated by the application of Kirchhoff’s voltage law to the equivalent circuit. Substituting from equations (11)-(14) into equations (9) and (10) yields:

\[ V_m = (Z_{s1} + Z_{f1} + Z_{hm}) \bar{I}_m - j \bar{R}_{f1} \bar{I}_m \]  

(15)

\[ V_a = j \bar{R}_{f1} \bar{I}_m + (Z_{s1} + Z_{f1} + Z_{hm}) \bar{I}_a \]  

(16)

Main and auxiliary winding currents \((\bar{I}_m, \bar{I}_a)\) can be obtained by solving (15), (16) as follows:

\[ \bar{I}_m = \frac{V_m (Z_{s2} - Z_{12})}{Z_{11} Z_{22} - Z_{12} Z_{21}} \]  

(17)

\[ \bar{I}_a = \frac{V_a (Z_{s1} - Z_{12})}{Z_{11} Z_{22} - Z_{12} Z_{21}} \]  

(18)

\[ \text{Fig. 2. Proposed equivalent circuit of PSCRSPIM} \]

where, \(Z_{11}, Z_{12}, Z_{21}\) and \(Z_{22}\) are computed as:

\[ Z_{11} = Z_{s1} + Z_{f1} + Z_{hm} \]  

(19)

\[ Z_{12} = -j \bar{R}_{f1} [Z_{f1} - Z_{hm}] \]  

(20)

\[ Z_{21} = j \bar{R}_{f1} [Z_{f1} - Z_{hm}] \]  

(21)

\[ Z_{22} = Z_{s1} + Z_{c2} + j \bar{R}_{f1} [Z_{f1} + Z_{hm}] \]  

(22)

The line current is computed as:

\[ I_L = \text{Re}(\bar{I}_m + \bar{I}_a) \]  

(23)

On applying input voltage, \(V\), to PSCRSPIM, the power consumed by the motor is given by:

\[ P_m = V I_L \cos \theta \]  

(24)

The power factor can be computed as:

\[ \eta = \cos \theta \]  

(25)

where, ‘\(\theta\)’, is the power factor angle between the applied voltage and the line current.

For PSCRSPIM the total air gap power is defined as:

\[ P = P_{gf} - P_{gb} \]  

(26)

where, \(P_{gf}\) and \(P_{gb}\) are forward and backward gap powers and are expressed as:

\[ P_{gf} = \text{Re}(E_{f1} I_{m} + j \bar{R}_{f1} I_{a}) \]  

(27)

\[ P_{gb} = \text{Re}(E_{f1} I_{m} + j \bar{R}_{f1} I_{a}) \]  

(28)

By inserting (27) and (28) in (26), the total air gap power can be obtained as:

\[ P_g = P_{gf} - P_{gb} = \frac{1}{2} (J_{a} I_{m}^{2} + |I_{a}|^{2}) (R_f - R_b) + 2 |I_{a}| |I_{m}| (R_f + R_b) \sin (\theta_a - \theta_m) \]  

(29)

The developed power is given by:

\[ P_{\text{dev}} = \frac{1}{2} S_f P_g \]  

(30)

The output power is then calculated by subtracting the rotational losses, \(P_{\text{rot}}\), from the developed power as follows:

\[ P_{\text{out}} = P_{\text{dev}} - P_{\text{rot}} \]  

(31)

Therefore, the efficiency can be calculated as:

\[ \eta = \frac{P_{\text{out}}}{P_{\text{in}}} \]  

(32)

In this section, complete equivalent circuit, performance variables including the efficiency of the motor have been calculated using the CCVR.

4. EXPERIMENTAL VALIDATION, RESULTS AND DISCUSSION

In order to verify the theoretical findings, two PSCRSPIMs, as given in table 1, have been taken for study. The performance variables of motors are computed using dc, no-load and locked rotor test results.

<table>
<thead>
<tr>
<th>Table 1: Specification of fan motors</th>
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<tbody>
<tr>
<td>Motor</td>
</tr>
<tr>
<td>-------</td>
</tr>
<tr>
<td>1</td>
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<tr>
<td>2</td>
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</table>

Two different energy efficient experimental schemes are shown in Fig. 3(a) and 3(b). Fig. 3(a) is the traditional way of phase angle control by using the triac based ac voltage controller between the supply voltage and the motor. An advanced scheme for phase angle control is depicted in Fig. 3(b), in which the triac based ac voltage controller is inserted in series with main winding and the motor auxiliary winding is directly connected to the supply voltage. By varying the firing angle of the triac, different voltages are achieved for both the schemes. The performance variables (such as current, power and power factor) of PSCRSPIMs have been measured with YOKOGAWA WT 3000 Precision power.
analyzer and further the efficiency has been deduced theoretically. 
The results for current, power and power factor are obtained at different firing angles, using two different energy efficient experimental schemes. The experimental results obtained are then compared with conventional parameter estimation techniques [4-6] and the proposed performance prediction method as shown in Figs. 4-15. In these Figs., the curves corresponding to the bracketed references in the legend are obtained by using the methods given therein. Also, “-1” and “-2” in legend refer to phase angle control scheme-1 and phase angle control scheme-2, respectively.

The inferences that can be drawn from these results are itemized along with the results as below:

• For both the experimental energy efficient schemes, at different firing angles, the performance curves due to the proposed performance prediction method are closer to the experimental ones in comparison to the conventional theoretical parameter estimation methods [4-6], as shown in Figs 4-15.

Fig. 3. (a) Traditional phase angle control: Scheme-1. (b) Advanced phase angle control: Scheme-2.

Fig. 4. Current of motor-1 using scheme-1 with proposed and conventional methods, at different firing angles.

Fig. 5. Current of motor-1 using scheme-2 with proposed and conventional methods, at different firing angles.

Fig. 6. Power of motor-1 using scheme-1 with proposed and conventional methods, at different firing angles.

Fig. 7. Power of motor-1 using scheme-2 with proposed and conventional methods, at different firing angles.

Fig. 8. Power factor of motor-1 using scheme-1 with proposed and conventional methods, at different firing angles.
In traditional phase angle control scheme, both main and auxiliary winding currents are non-sinusoidal (on account of presence of triac in both the paths) and hence input current harmonics increases, which in turn increase the copper loss due to harmonics. For both the motors, the experimental input current for scheme-1 is more than as for scheme-2, as shown in Figs. 16 and 17, respectively.
For advanced phase angle control scheme, the auxiliary winding current is sinusoidal (due to absence of triac in this path) and the main winding current is distorted. Hence, the phasor sum of main and auxiliary winding currents contains fewer harmonic, which further lessens the copper loss due to harmonics. This decreases the consumed input power which can be seen for both the motors in Figs. 18 and 19, respectively.

Although, the experimental observations distinctly manifest the superior performance of the advanced phase angle control schemes as evidenced from Figs. 16-23, nevertheless, the deficiency appears while the motor parameters are extracted using the conventional methods [4-6], the resulting performance variables (line current, power and power factor) give error vis-a-vis experimentally measured values. However, the
performance variables derived from proposed method give less error to the experimental values. By exerting the conventional [4-6] and proposed methods to the studied sample motors, for both the energy efficient schemes, the efficiency versus firing angle is obtained as in Figs. 22 and 23. The observations of these Figs. reveal the following points:

- The efficiency of the motor using the conventional methods [4-6], shows no improvement even while using the advanced phase angle control scheme. This indicates the deficiency of the conventional theoretical performance prediction methods.
- The efficiency of the motor shows superiority of scheme-2 over scheme-1, using the proposed method, which is in compliance to earlier work [8] and also confirms the validity of the analytical findings.

For quantitative result analysis, mean absolute percentage error (MAPE) of performance variables (current, power and power factor) with respect to experimental observations using conventional [4-6] and the proposed method for both the motors and phase angle control schemes are depicted separately, in Table II.

\[
MAPE = \sum \left( \frac{100 \times |E_N - T_N|}{E_N} \right)
\]  
(33)

where, \(E_N\), is the experimentally measured performance variable and \(T_N\), is the theoretically obtained performance variable. This table demonstrates that the MAPE of performance variables with respect to experimental observations using the proposed performance prediction method is less than that using the conventional performance prediction methods.

5. CASE STUDY

The equivalent circuit parameters have been determined and hence performance variables are evaluated for four-pole PSCRSPIM with the following ratings and data: 220-240 V, 65 W, 50 Hz, 1400 rpm. Direct dc test, no-load test and locked rotor tests have provided the following data: \(R_{sm} = 357.14\ \Omega\), \(R_{sa} = 357.14\ \Omega\), \(R_s = 178.51\ \Omega\), \(V_{NL} = 240\ \text{V}\), \(I_{NL} = 0.279\ \text{A}\), \(P_{NL} = 65.07\ \text{W}\), \(V_{LR} = 165.43\ \text{V}\), \(I_{LR} = 0.230\ \text{A}\), \(P_{LR} = 37.976\ \text{W}\), \(C = 1.57\mu\text{F}\), \(R_e = 22.4\ \Omega\). Winding ratio test has given the following data: \(E_{in} = 240\ \text{V}\), \(E_{num} = 198\ \text{V}\), \(E_a = 234\ \text{V}\), \(E_{num} = 196\ \text{V}\). Experimentally measured performance variables are: \(V = 240\ \text{V}\), \(I_j = 0.271\ \text{A}\), \(P_{in} = 65\ \text{W}\), \(p_f = 0.99\). This motor is taken for detailed analysis from section V, depicted in Table I as motor 1. At rated voltage and zero degree triac firing angle, the line currents of the motor for both the schemes, for obvious reason, are sinusoidal. Consequently, the equivalent circuit parameters and performance variables of the motor for both the schemes would remain same. At any firing angle other than zero degree, the line current of motor for scheme-1 and main winding current of the motor for scheme-2 become non-sinusoidal. Hence, for the case study, the equivalent circuit parameters and performance variables of the motor are evaluated for both the schemes at rated voltage on sixty degree firing angle of the triac. The values of line current, power factor, power consumed and efficiency have been evaluated in Table III, using the conventional [4-6] as well as the proposed techniques.

6. CONCLUSION

Conventional parameter estimation method for PSCRSPIM is inadequate to find the performance variables like input current, power factor and power consumed and hence towards the overall performance prediction of the motor at different firing angles of triac (for a triac based ac voltage controller). The proposed method treats the turns ratio as a complex quantity termed as CCVR and the performance variables of the motor have been calculated using this complex quantity. The conventional and proposed methods are used to find the equivalent circuit parameters, input performance variables and hence for the computation of the motor efficiency. Two experimental energy efficient schemes have been used to observe the motor performance at different
firing angles of triac. However, with the conventional performance prediction methods, the input variables show deviation from the experimental results obtained and the estimated efficiency violates the theme of superior performance of the motor with advanced phase angle control scheme. The proposed performance prediction method not only give the performance variables closer to the experimental results but also conform to the superiority of the advanced phase angle control scheme, which validate the analytical findings.

<table>
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<tr>
<th>Performance variables</th>
<th>MAPE Motor 1</th>
<th>MAPE Motor 2</th>
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<td>54.284</td>
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<tr>
<td>Current ([5])</td>
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<td>Current ([6])</td>
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<td>Current (Proposed)</td>
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<tr>
<td>Power ([4])</td>
<td>40.028</td>
<td>18.163</td>
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<td>Power ([5])</td>
<td>39.199</td>
<td>18.383</td>
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<tr>
<td>Power ([6])</td>
<td>82.911</td>
<td>81.789</td>
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<tr>
<td>Power(Proposed)</td>
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<td>Power Factor ([4])</td>
<td>65.011</td>
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<td>Power Factor ([5])</td>
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<tr>
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<td>336.16 + j622.26</td>
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<td>257.85 + j713.45</td>
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<td>783.19+j683.57</td>
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<td>702.01 + j779.08</td>
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<td>Not Used</td>
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**References**


